



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

Carrying–Introducing Broker Relationships Conference Session Panelists and Moderator Overview

Moderator: Bill Packham (Hampton Securities Limited)

Regulatory Panel Discussion Session:

Richard Corner (IIROC)
Bryan Moffitt (Fidelity)
Louis Piergeti (IIROC)
Charles Piroli (Penson)

Account Activity/Process Management Panel Discussion Session (Part 1 of 2):

Louis Piergeti (IIROC)
Christine Rodrigues (TD)
David Tasker (NBCN)
Peter Virvilis (Pinnacle)

Account Activity/Process Management Panel Discussion Session (Part 2 of 2):

David Burnes (NBCN)
Jeff Harvie (TD)
Murray Lund (IIROC)
Peter Virvilis (Pinnacle)
Joe Yassi (IIROC)

Evolution of the Industry Panel Discussion Session:

Mo Hirani (Fidelity)
Steve Cosburn (Penson)
Patrick Primerano (TD)
David Burnes (NBCN)
Peter Virvilis (Pinnacle)