



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

Small Dealers Symposium: Opportunities for Success in a Challenging Environment

Introduction: Mario Frankovich (Burgeonvest Bick Securities Limited)

State of the Union: Ian Russell (IIAC)

Strategies to Adapt and Grow Your Business in the Changing Market Environment Session

Moderator: Doug Fox (Risk Management Services)

Speakers: Randy Ambrosie (Accretive Advisor)
Doug Clark (ITG Canada Corp.)
Bob Simpson (Synchronicity Performance Consultants)

Lessons Learned from Recent Strategic Transactions Session

Moderator: Bill Packham (Desjardins Group)

Speakers: Daniel Holland (Beacon Securities Limited)
Mario Frankovich (Burgeonvest Bick Securities Limited)
Daniel Thompson (Jones, Gable and Company Limited)

Luncheon Keynote

Keith Sjögren, Senior Consultant and Managing Director, Consulting, iINVESTOR ECONOMICs
Guy Armstrong, Senior Consultant and Managing Director, iINVESTOR ECONOMICs

Regulatory Issues Session

Moderator: Larry Boyce (Sutton Boyce Regulatory Consulting Group)

Speakers: David Burnes (National Bank Correspondent Network)
Mike Prior (IIROC)
Joe Yassi (IIROC)

Cross Border Issues Session

Moderator: Michelle Alexander (IIAC)

Speakers: Rose Barbieri (Mackie Research Capital Corporation)
Ray Kinoshita (Grant Thornton LLP)
Jeff Kennedy (Cormark Securities Inc.)
Grant Vingoe (Arnold & Porter LLP)

Closing Remarks: Susan Copland (IIAC)