



INVESTMENT INDUSTRY ASSOCIATION OF CANADA  
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

**IIAC Small Dealers and Introducing Brokers  
Committee Members  
(as of June 1, 2012)**

<b>Name</b>	<b>Company</b>	<b>Title</b>
Abramson, Adam	Trapeze Capital	Vice President
Achyuthan, Govind	Alta Corp Capital	CCO
Altin, Leslee	Peters & Co	CCO
Benson, Holly	Peters & Co	CFO
Bentley-Taylor, David	Caldwell Securities	President
Bradley, Paul	Fraser McKenzie Securities	CCO
Dale, Jim	Leede Financial	CCO
Buchanan, Margo	Owens MacFayden Group	
Burnes, David	NBCN	Executive Vice President
Curt Cumming	Goodwood Inc.	CFO – Head Trader
Demers, Francois	FIN-XO Securities	President & CFO
Diong, Wesley	Cumberland Private Wealth	
Doherty, Jennifer	JC Clark Ltd	CCO
Efraim, Leon	First Leaside	CCO
Frankovich, Mario	Burgeonvest Securities	President & CEO
George, Mark	Hampton Securities	Head of Operations & Finance
Goldberg, Robert	e3m Investments Inc.	CEO
Goodman, Richard	Sandfire Securities	Managing Director
Hanrahan, John	Citadel Securities	President & CEO
Harasym, Lawrence	Jones Trading Canada	CCO
Hill, Rob	Haywood Securities	VP Financial
Hindson, Robb	Jones Gable	CFO
Holland, Daniel	Beacon Securities	CFO
Houlding, Dave	Independent Trading Group	CEO
Huynh, To-Linh	OMG	Compliance Officer
Hsia, Helen	Canadian Shareowner Investment Inc.	CCO
Husdon, Ann	Haywood Securities	Manager, Correspondent Network
Isaac, Rob	Sora Group	President & CEO
Kizemchuk, Ben	Altus Securities Inc.	CEO

Jagger, Sindy	K.J. Harrison & Partners Inc.	
Jelec, Paul	Altus Securities Inc.	CCO
Jess, Laura	Trapeze Capital	VP Compliance
Jurjevich, Frank	Lighthouse Private Client Corp	President
Kazmierowski, Michael	MRS Securities	VP Operations
Kimeda, Michael	Middlefield Capital Corp.	Sr. VP and CFO
Klotz, James	Peregrine Financial Group	President, CCO
Konopaski, Frank	Money Managers Inc.	
Konopaski, Michael E.	Money Managers, Inc.	Investment Advisor
Laginha, Antonia	Sora Group	CCO
Lang, Debbie	Retire First	VP Operations
Legault, Stéphane	Financière des professionnels	VP Finance
Jean-Francois Levasseur	Financière des professionnels	CCO
Manning, Tony	Foster Group	CCO
McEvenue, Sinc	Cumberland Private Wealth Management	Chief Financial Officer
McLelland , Hugh	Queensbury Securities	CEO
Meffe, Nicola	Jitney Trade, Inc.	CCO
Medland, Gord	Leede Financial	
Miller, Brad	Sora Group	CEO
Miller, Myja	Acumen Capital	CFO
Miyata, Martin	Worldsource Securities	Director, Compliance
Nicholson, David	Queensbury Securities Inc.	Vice President
Packham, William D.	Dejardins Group	Executive Managing Director
Papp, Ken	Sandstone Asset Management	Manager, Operations
Parker, Ken	Portfolio Strategies	VP Compliance & Finance
Pavao, Joe	Solium Financial Services Canada	President
Percy, Dean	Questrade	CFO
Podolsky, Bill	Lakeshore Securities	President & CEO
Prestwich, David	Global Financial Inc.	COO
Pynn, Brian	ATB Securities	Director, Compliance
Ross, Jehred	Dahlman Rose	President, CCO
Schleyer, Craig	Watt Carmichael	Vice President
Scullion, Susan	Alta Corp Capital	CFO
Simpson, Barb	Rogers Group Financial	CCO & CFO
Sixsmith, Caroline	Worldsource Securities Inc.	VP Operations
Smith, Jane	Beacon Securities	President
Sukhraj, Dax	Argosy Securities	President & CEO
Thompson, Daniel	Jones Gable	President
Varik, Katherine	Cumberland Private Wealth Management	
Ward, Michael	Paradigm Capital	Chief Financial Officer
Webster, John	Queensbury Securities, Inc.	President
Wolverton, Brent	Wolverton Securities	CEO

<b>CARRYING BROKER REPS</b>		
Burnes, David	NBCN	
Cosburn, Stephen	Penson	
Grovestine, Andrew	Canaccord	
Harvie, Jeff	TD	
Husdon, Ann	Haywood	
Moffitt, Bryan	Fidelity	
Rodrigues, Christine	TD	
Vanderhayden, Kevin	Penson	
Zochowski Mark	MRS Carrying Dealer Services	