

## IIAC Anti-Money Laundering & Financial Crimes Conference

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WEDNESDAY, MARCH 4, 2020

**8:00 – 8:55**            **Registration and Breakfast**

**8:55 – 9:00**            **Introduction by [Michelle Alexander](#), IIAC**

**9:00 – 9:45**            **Digital Identification is More Than Just ID**

- [Gene DiMira](#), Manulife, and [Greg Wolfond](#), SecureKey Technologies

This panel will explore how to take advantage of AML regulations move to accepting “Authentic” records for identification, assess technology solutions to rely on authentic records, options to reduce risk beyond identification for a investment dealer and how a dealer signs up and what is involved in terms of cost, resources and time to go live.

**9:45 – 10:45**            **Risk and Reward in Virtual Assets**

- [Amber Scott](#), Outlier, and [Lori Stein](#), Osler

Not all virtual assets are made equal when it comes to money laundering risk. This panel will discuss: fraud - from initial coin offerings (ICOs) to Asset-Backed Tokens, cases of fraud and scams are wide-ranging; security tokens - is there an AML risk when issuers and exchanges are offside with securities regulation; virtual currencies, including myths and realities; and virtual asset funds - a number of funds available to accredited investors hold digital assets. When funds become available to the general public, what will it mean for AML practitioners?

**10:45 – 11:00**            **Morning Break**

**11:00 – 12:00**            **IIROC’s New AML Guidance**

- [David Wright](#), IIROC, [Noel Sequeira](#), IIROC, with Moderator [Michelle Sarmiento](#), Questrade

IIROC’s Anti-Money Laundering Compliance Guidance was recently updated and the new Guidance will become effective on June 1, 2020. Hear from IIROC staff as they discuss the changes to the Guidance reflecting new federal AML and ATF requirements, securities laws and IIROC requirements. Our moderator will pose some frequently asked questions relating to the Guidance, such as those surrounding beneficial ownership, establishing identity, client identification and the gatekeeper role.

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**12:00 – 12:45**                      **Lunch**

**12:45 – 13:30**                      **Keynote Speaker Address: Superintendent [Pete Payne](#), Director Financial Crime, RCMP**

**13:30 – 14:30**                      **Hot Topics in AML and Financial Crimes: The Investment Dealer Perspective**

- [Matt McGuire](#), The AML Shop, [Garry Clement](#), Clement Advisory Group, and [Derek Ramm](#), MT>Play, with Moderator [Derek Pattison](#), Scotiabank

Panelists will share their perspectives on key global and domestic financial crime trends that can impact member firms in 2020.

**14:30 – 14:45**                      **Afternoon Break**

**14:45 – 15:45**                      **New Requirements under the Regulations for Investment Dealers and Examinations: Lessons and Remedies**

- [Jacqueline Shinfield](#), Blakes

Join legal expert Jackie Shinfield as she reviews the highlights under the PCMLTF Regulations, what investment dealers should understand about these substantive changes, and how they may impact compliance policies and procedures. Explore the best way firms can be prepared when FINTRAC comes calling, and what options are available to firms other than name and shame.

**15:45 – 16:45**                      **AML Technology**

- [Yoseph Elkaim](#), Genpact, [Tony Lalonde](#), Scotiabank, [Kuno Tucker](#), Virtu ITG Canada Corp.

An informative discussion on what new technology is out there, how to evaluate, integrate and implement new technology into AML practice, and how to ensure effective leverage of the technology.

**16:45 – 16:50**                      **Closing Remarks by [Michelle Alexander](#), IIAC**